

Security Device Having Multiple Security Detection Features

RELATED APPLICATIONS

[0001] This application is a continuation-in-part of U.S. Patent Application Serial
5 No. 09/203,449 filed December 1, 1998, which claims the benefit of U.S. Provisional
Patent Application Serial No. 60/067,228 filed December 2, 1997, both of which are fully
incorporated herein by reference. This application is also a continuation-in-part of U.S.
Patent Application Serial No. 09/684,851 filed October 6, 2000, which claims the benefit
of U.S. Provisional Patent Application Serial No. 60/158,282 filed October 7, 1999, both
10 of which are also fully incorporated herein by reference.

TECHNICAL FIELD

[0002] The present invention relates to security devices used in or with valuable
items and in particular, to security threads having multiple security detection features
15 including metal and metal/magnetic regions.

BACKGROUND INFORMATION

[0003] It is known that secure documents or instruments may be rendered less
susceptible to forgery or counterfeiting by including security features in various forms
20 within the body of the document. In fact, the security or integrity of a document or
instrument will increase with the number of separate and distinct security features that it
employs.

[0004] Many security papers and other items of value include a security device or
element, such as a security thread, disposed on or within the document. The security
25 device typically includes one or more security features, such as metallic, magnetic, x-ray
absorbent, and/or luminescent security features, that serve to authenticate the security
paper and prevent or deter counterfeiting.

[0005] A common type of security thread includes metal-formed characters or
indicia disposed on a plastic carrier substrate. Such threads are coated with a layer of
30 metal, such as aluminum, and display either: discrete metal characters as currently used in
United States Currency; or negative or reverse-image characters as currently used in
currencies such as the German Deutsche Mark. These threads are visually detectable in
transmitted light by members of the public and are machine detectable by conventional
thread detectors that detect the presence or absence of conductive features on the threads.

[0006] It has long been recognized that while visually detectable or public security features are both necessary and desirable, the use of non-apparent and/or concealed, machine testable security features offer a heightened level of security. If a counterfeiter does not recognize that a particular security feature is present within a document, attempts
5 would not be made to reproduce that feature.

[0007] Several attempts have been made to combine multiple security detection features, such as metallic and magnetic features, to make counterfeiting more difficult. In one example, magnetic ink is used to print graphic indicia that can be read by MICR detectors. However, if magnetic ink is used to print graphic indicia on or with metallic
10 security detection features, the magnetic features and metallic features are easily distinguishable and identified. A counterfeiter could recognize that both magnetic and metallic security detection features have been used and need to be reproduced.

[0008] Accordingly, a need exists for a security device that offers a heightened level of security.

15 [0009] It is, therefore, an object of the present invention to provide such a security device.

[0010] It is a more particular object to provide a security device having multiple security detection features, such as metal and metal/magnetic security detection features, some of which are not easily distinguishable and recognizable upon observing the security
20 device.

SUMMARY OF THE INVENTION

[0011] The present invention therefore provides a metal/magnetic security device comprising a carrier substrate, such as a transparent carrier film, and multiple security
25 detection features disposed on the carrier substrate.

[0012] More specifically, the metal/magnetic security device of the present invention comprises:

- (a) a carrier substrate having a length; and
- (b) security detection features disposed on at least one surface
30 of the carrier substrate, wherein the security detection features comprise:
 - (i) an optionally repeating pattern of:
 - discrete metal/magnetic indicia, and
 - discrete metal or metal-dot formed indicia,

- (ii) optionally, at least one metal strip extending along the length of at least one surface of the carrier substrate, and
- (iii) optionally, a plurality of metal dots formed directly on at least one surface of the carrier substrate.

5 The term "discrete," as used herein, is intended to mean not directly connected to adjacent metal/magnetic indicia and/or metal or metal-dot formed indicia disposed on a surface of the carrier substrate. It is noted that the discrete indicia of the optionally repeating pattern may be indirectly connected to adjacent indicia by *e.g.* one or more metal strips extending along the length of the surface of the substrate.

10 **[0013]** In accordance with one aspect of the present invention, the metal/magnetic indicia, in the form of multi-layer, metal/magnetic blocks or squares, and the metal or metal-dot formed indicia, in the form of metal letters, numbers, and/or symbols, are disposed on at least one surface of the carrier substrate, while first and second metal strips extend along top and bottom regions or edges thereof.

15 **[0014]** In a preferred embodiment, the multi-layer, metal/magnetic blocks or squares, and the metal letters, numbers, and/or symbols form a pattern that repeats along the length of the carrier substrate.

20 **[0015]** In another preferred embodiment, a plurality of closely spaced metal dots are formed directly on remaining metal-free portions of at least one surface of the substrate.

25 **[0016]** In accordance with another aspect of the present invention, the metal/magnetic indicia, in the form of multi-layer, metal/magnetic letters, numbers, and/or symbols, and the metal or metal-dot formed indicia, in the form of blocks or squares containing negative or reverse-image indicia, are disposed on at least one surface of the carrier substrate.

30 **[0017]** In a preferred embodiment, the multi-layer, metal/magnetic letters, numbers, and/or symbols, and the blocks or squares containing negative or reverse-image indicia, form a pattern that repeats along the length of the carrier substrate.

30 **[0018]** One embodiment of the metal/magnetic indicia of the present inventive security device may include a metal layer and a magnetic layer. Another embodiment of the metal/magnetic indicia include a first metal layer, a magnetic layer disposed on the first metal layer, and a second metal layer disposed on the magnetic layer.

BRIEF DESCRIPTION OF THE DRAWINGS

[0019] These and other features and advantages of the present invention will be better understood by reading the following detailed description, taken together with the drawings wherein:

5 [0020] FIG. 1 is a plan view of a metal/magnetic security device according to one embodiment of the present invention;

[0021] FIG. 2 is a plan view of a metal/magnetic security device according to another embodiment of the present invention;

[0022] FIG. 3 is a plan view of a metal/magnetic security device according to a
10 further embodiment of the present invention;

[0023] FIG. 4 is a cross-sectional view of the metal/magnetic indicia of the present inventive security device, according to one embodiment; and

[0024] FIG. 5 is a cross-sectional view of the metal/magnetic indicia of the inventive security device according to another embodiment of the present invention.

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DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0025] A metal/magnetic security device 10, FIG. 1, according to the present invention, is used on or in conjunction with an item of value to provide authentication of that item or to encode data pertaining to that item, thereby preventing counterfeiting or
20 unauthorized reproduction of the item. In the exemplary embodiment, the security device 10 is in the form of a thread, which can be used in secure documents including, but not limited to, bank notes, currencies, passports, visas, titles, licenses, registrations, checks, money orders, original documents, certificates of authority, and gift certificates.

[0026] The security device 10 can also be used in labels, tags, product wrappers or
25 packaging material including, but not limited to, pressure sensitive labels, glue on labels, in mold labels, heat shrink labels, woven labels, tear tapes, shrink caps and collars, and stickers.

[0027] The security device 10 can further be used with laminated articles including, but not limited to, passports, ID cards, access cards, licenses and credit/debit
30 cards. The security device 10 can further be used in tickets or passes including, but not limited to, event tickets, transit tickets, lottery tickets, and admittance passes.

[0028] The metal/magnetic security device 10 includes a carrier substrate 12, such as a transparent carrier film, having a surface 14. Examples of the materials that can be

used for the carrier substrate are disclosed in U.S. Patent Number 5,486,022, incorporated herein by reference. Multiple security detection features are provided on at least one surface 14 of the carrier substrate 12. The security detection features are detectable by machine and/or visually to provide authentication of the item with which the security device 10 is associated. At least some of the security detection features can also be used to encode data pertaining to the item with which the security device 10 is associated.

[0029] The security detection features of security device 10 basically comprise an optionally repeating pattern of discrete metal/magnetic indicia 24 and metal or metal-dot formed indicia 22. The security detection features may also include at least one metal strip 20 extending along the length of the carrier substrate 12, and/or a plurality of metal-dots 26, located on metal-free portions of at least one surface of carrier substrate 12.

[0030] The discrete metal/magnetic indicia 24 may include any type of visual indicia such as geometric shapes (*e.g.*, squares, rectangles), letters, numbers, alphanumeric characters, drawings, objects, pictures, non-geometric shapes, symbols and the like.

[0031] The metal or metal-dot formed indicia 22 can be formed as either solid metal indicia 22, as negative or reverse-image indicia 23 formed by a metal region 25 which surrounds and defines negative or reverse-image indicia 27, or as metal-dot clusters 22, as shown in FIG. 2. The metal or metal-dot formed indicia 22 may include any type of visual indicia such as letters, numbers, alphanumeric characters, drawings, objects, pictures, shapes, symbols and the like.

[0032] In accordance with one aspect of the present invention, and as best shown in Fig. 1, discrete metal/magnetic indicia 24, in the form of multi-layer, metal/magnetic blocks or squares, alternate with metal formed indicia 22, in the form of metal letters, on carrier substrate 12 and form a repeating pattern along the length of substrate 12, while first and second metal strips 20a,b extend along top and bottom regions or edges of surface 14 of substrate 12. In this one aspect of the present invention, a plurality of metal-dots 26 are present on metal-free portions of surface 14 of substrate 12.

[0033] In one embodiment, the metal/magnetic indicia 24 do not overlap the metal strips 20a,b, as shown in FIG. 1. In an alternative embodiment, the metal/magnetic indicia 24 partially overlap and/or contact the metal strips 20a,b, as shown in FIG. 2.

[0034] According to another embodiment of the inventive metal/magnetic security thread 10, and as best shown in FIG. 2, the metal letters 22 are formed by metal-dot clusters.

5 [0035] In accordance with another aspect of the present invention, and as best shown in Fig. 3, security device 30 includes discrete metal/magnetic indicia 34, in the form of multi-layer, metal/magnetic letters 38, which alternate with metal formed indicia 32, in the form of blocks or squares containing negative or reverse-image indicia 36, on carrier substrate 12, to form a repeating pattern along the length of substrate 12.

10 [0036] In one embodiment, and as best shown in Fig. 4, the multi-layer, metal/magnetic indicia 24,34 are formed as two layers including a metal layer 40, disposed on at least one surface 14 of the carrier substrate 12, and a magnetic layer 42 disposed on the metal layer 40 in substantially identical registration therewith. One method for preparing such multi-layer, metal/magnetic indicia 24,34 is disclosed in greater detail in U.S. Patent Application Serial No. 09/203,449, entitled "Security Device Having Multiple Security Features and Method of Making Same," which has been fully incorporated herein by reference.

15 [0037] In accordance with another embodiment, and as best shown in Fig. 5, the multi-layer, metal/magnetic indicia 24,34 include three layers, with an additional metal layer 44 being disposed on magnetic layer 42. One method for preparing such multi-layer, metal/magnetic indicia 24,34 is disclosed in greater detail in U.S. Patent Application Serial No. 09/684,851, entitled "Security Device With Foil Camouflaged Magnetic Regions and Methods of Making Same," which has been fully incorporated herein by reference.

20 [0038] The security device 10 of the present invention can use the security features to encode data, for example, using different conductive characteristics of the metal and/or different magnetic characteristics of the magnetic material, as disclosed in greater detail in U.S. Patent Application Serial No. 09/203,449, which has been fully incorporated herein by reference.

25 [0039] Accordingly, the security device 10,30 of the present invention offers a heightened level of security by providing multiple security detection features using combinations of metal and magnetic materials. For example, the visible indicia offer a public security feature. The metal strip(s) 20 provides a machine detectable security feature, while the discrete metal/magnetic indicia 24,34, the pattern of metal/magnetic
30 indicia 24,34 and metal or metal-dot formed indicia 22,32, in addition to, the plurality of metal dots 26, provide the ability to detect, encode and read data, by machine. The metal/magnetic indicia 24,34 may also serve to hide magnetic security features from public view, to help avoid detection and counterfeiting.

[0040] Modifications and substitutions by one of ordinary skill in the art are considered to be within the scope of the present invention, which is not to be limited except by the claims which follow.

Patent 6,126,660